Supreme Court, U.S.
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IN THE
SUPREME COURT OF THE UNITED STATES
OCTOBER TERM, 1993

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NO. _____

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GARRY D. WIGGINS,

Petitioner

V.

RAUL JOSE VALENCIA,

Respondent

* * *

Petition for Writ of Certiorari
To The United States Court of Appeals
For the Fifth Circuit

* * *

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QUESTIONS PRESENTED

1. Is a claim alleging the use of excessive force against a state pre-trial detainee governed by Fourth Amendment or Fourteenth Amendment standards?

2. Are cuts, scratches and bruises that do not require medical attention *de minimis* injuries for purposes of excessive force claims arising under the United States Constitution?

3. Does qualified immunity protect a state officer from liability when the law at the time the conduct occurred required a plaintiff to show that the force used by the officer inflicted severe injury?

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LIST OF ALL PARTIES

Raul Jose Valencia

Garry D. Wiggins

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THE OPINION BELOW

The opinion of the court below is published as Valencia v. Wiggins, 981 F.2d 1440 (5th Cir. 1993).

STATEMENT OF JURISDICTION

The decision of the court below was announced on January 18, 1993. No motions for rehearing were filed. This petition for writ of certiorari is filed within 90 days of entry of judgment by the court below. This Court has jurisdiction to review the decision of the court below by writ of certiorari under the provisions of 28 U.S.C. §1254.

CONSTITUTIONAL AND STATUTORY PROVISIONS INVOLVED

The Fourth Amendment to the United States Constitution provides, in part:

> The right of the people to be secure in their persons, houses, papers, and effects, against unreasonable searches and seizures, shall not be violated, ...

The Fourteenth Amendment to the United States Constitution provides, in part:

> Sec. 1 . . . nor shall any State deprive any person of life, liberty, or property, without due process of law; . . . .

42 U.S.C. §1983 provides, in part:

> Every person who, under color of any statute, ordinance, regulation, custom, or usage, of any State or Territory or the District of Columbia, subjects, or causes to be subjected, any citizen of the United States or other person within the jurisdiction thereof to the deprivation of any rights, privileges, or immunities secured by the Constitution and laws, shall be liable to the party injured in an action at law,suit in equity, or other liable proper proceeding for redress . . .

STATEMENT OF THE CASE

This case involves a claim by a former pre-trial detainee that during his incarceration in a county jail while he awaited trial, a county deputy used excessive force against him. The plaintiff asserted claims arising under various provisions of the United States Constitution, and under several federal statutes, including 42 U.S.C. §1983. He also asserted numerous pendent state law claims. After a bench trial, the court found that the defendant had violated 42 U.S.C. §1983, but denied relief under all other federal and state causes of action. The trial court found that the plaintiff's injuries consisted of cuts, scrapes and bruises that did not require medical attention and awarded the plaintiff $2,500 in damages. In a supplemental order entered after trial, the trial court also awarded the plaintiff approximately $27,600 in attorney's fees and costs.

The defendant appealed all parts of the judgment. The plaintiff did not challenge by cross-appeal any part of the judgment. In a published opinion, the Fifth Circuit Court of Appeals affirmed the district court's judgment. Valencia v. Wiggins, 981 F.2d 1440 (5th Cir. 1993). This petition for writ of certiorari followed.

ARGUMENT

I. A CLAIM ALLEGING THE USE OF EXCESSIVE FORCE AGAINST A STATE PRE-TRIAL DETAINEE SHOULD GOVERNED BY A FOURTH AMENDMENT STANDARD RATHER THAN BY A FOURTEENTH AMENDMENT STANDARD.

In Graham v. Connor, ____ U.S. _____, 109 S.Ct. 1865, 1871 n. 10 (1989), this Court noted that:

> [o]ur cases have not resolved the question whether the Fourth Amendment continues to provide individuals with protection against the deliberate use of excessive force beyond the point at which arrest ends and pretrial detention begins, and we do not attempt to answer that question today.

The issue left unresolved in Graham remains unresolved today.

The courts of appeals have reached different conclusions concerning the applicable constitutional standards to be applied to excessive force claims by pre-trial detainees. The Seventh Circuit and the Fourth Circuit have adopted substantive due process standards derived from the fifth and fourteenth amendments to be used once the arresting officers have completed the

arrest. Titran v. Ackman, 893 F.2d 145 (7th Cir. 1990); Justice v. Dennis, 834 F.2d 380 (4th Cir. 1987), vacated 490 U.S. 1087. The Ninth and Tenth Circuits have explicitly held that the fourth amendment continues to apply to excessive force claims beyond the point that arrest has been completed. Robins v. Harum, 773 F.2d 1004 (9th Cir. 1985); Austin v. Hamilton, 945 F.2d 1155 (10th Cir. 1991).

The court below held that excessive force cases involving pre-trial detainees should be analyzed using a substantive due process analysis derived from the fourteenth amendment. In this, the court below erred. Application of this standard caused the court below to focus unduly upon the officer's subjective mental state as the benchmark of analysis. Application of the proper, more objective Fourth Amendment inquiry, required analysis of the officer's conduct under a reasonableness standard and, equally important, required objectively more serious injuries as a predicate to proving a constitutional violation.

II. CUTS, SCRATCHES AND BRUISES THAT DO NOT REQUIRE MEDICAL ATTENTION ARE *DE MINIMIS* INJURIES FOR PURPOSES OF EXCESSIVE FORCE CLAIMS ARISING UNDER THE UNITED STATES CONSTITUTION.

Not all injuries inflicted by law enforcement officials rise to the level of constitutional violations.

Minor harms and transient injuries, while relevant to some state tort claims do not have constitutional import. Although this Court recently rejected the Fifth Circuit's "significant injury" requirement in eighth amendment excessive force cases, Hudson v. McMillian, ___ U.S. ___, 112 S.Ct. 995 (1992), in the same case the Court did not reject the notion that some injuries are too insignificant to be afforded constitutional protection:

> That is not to say that every malevolent touch by a prison guard gives rise to a federal cause of action. See, Johnson v. Glick, 481 F.2d at 1033 ("Not every push or shove, even if it may later seem unnecessary in the peace of a judge's chambers, violates a prisoner's constitutional rights"). The Eighth Amendment's prohibition of "cruel and unusual" punishment necessarily excludes from constitutional recognition *de minimis* uses of physical force, provided that the use of force is not of a sort "'repugnant to the conscience of mankind'".

Hudson v. McMillian, supra, ___ U.S. at ___, 112 S.Ct at 1000.

In this case, the undisputed finding of the trial court was that the injuries suffered by the Plaintiff consisted of cuts, scrapes and bruises that did not require medical attention. The court below erred in failing to find that these injuries were *de minimis* for purposes of determining whether the plaintiff had

shown that he had suffered an injury of constitutional dimension. The holding of the court below trivializes the constitution by elevating insignificant, wholly transient injuries to a constitutional tort.

III. QUALIFIED IMMUNITY PROTECTS A STATE OFFICER FROM LIABILITY WHEN THE LAW AT THE TIME THE CONDUCT OCCURRED REQUIRED A PLAINTIFF TO SHOW THAT THE FORCE USED BY THE OFFICER INFLICTED SEVERE INJURY.

At the time of this incident, Defendant Wiggins was a public safety official. As such, he is entitled to assert the defense of qualified immunity. For qualified immunity purposes, the objective reasonableness of an official's conduct must be measured with reference to the law as it existed at the time of the conduct in question. Harlow v. Fitzgerald, 457 U.S. 800, 818-19 (1982). As long as the government official's actions could reasonably have been thought consistent with the rights he is alleged to have violated, the qualified immunity defense shields him from liability. Anderson v. Creighton, 483 U.S. 635, 638 (1987).

The conduct involved in this case occurred in July, 1987. At that time, Shillingford v. Holmes, 634 F.2d 263 (5th Cir. 1981), was clearly established law for cases involving the use of excessive force in the Fifth Circuit. In order to prevail on an excessive force

claim, Shillingford required a plaintiff to prove that "the state officer's action caused severe injuries, was grossly disproportionate to the need for action under the circumstances and was inspired by malice rather than merely careless or unwise excess of zeal so that it amounted to an abuse of official power that shocks the conscience. . . ." Id. 634 F.2d at 265. These three requirements each had to be proven.

If a reasonable peace officer could have concluded in 1987 that Defendant Wiggins did not violate Plaintiff's right to be free from excessive force as that right was understood at that time, Defendant Wiggins is entitled to the benefits of the qualified immunity defense.

Clearly, the injuries found by the trial court were not severe injuries within the meaning of the Shillingford standard. For this reason, a reasonable peace officer in 1987 would not have believed that Officer Wiggins violated any constitutional right of Valencia's as it was understood at that time. The court below should have reversed the trial court's judgment in favor of the plaintiff based upon the qualified immunity defense Wiggins asserted.

CONCLUSION

For all these reasons, a writ of certiorari should issue to review the judgment and opinion of the United States Court of Appeals for the Fifth Circuit.

Respectfully submitted,

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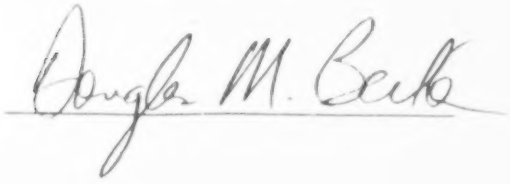

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APPENDIX A

Raul Jose VALENCIA,
Plaintiff-Appellee,

v.

Garry D. WIGGINS, Defendant-Appellant.

No. 91-8018.

United States Court of Appeals,
Fifth Circuit

Jan. 18, 1993.

Before GOLDBERG, JOLLY, and WIENER, Circuit Judges.

WIENER, Circuit Judge:

This appeal results from a pretrial detainee's civil rights suit against a county jailer. The district court found that the jail official used force greatly in excess of that which was reasonable under the circumstances. The court also found that the official acted maliciously toward the pretrial detainee with the intention to punish him, and that qualified immunity was not available. A money judgment was rendered in favor of the detainee. Concluding that the district court's findings of fact were not clearly erroneous, we find the jail official not entitled to qualified immunity. And, agreeing with the district court that the jail official used force maliciously

and sadistically with the intent to punish the pretrial detainee, we affirm.

I. FACTS AND PROCEDURAL HISTORY

A. THE JAILHOUSE INCIDENTS

As a result of an undercover police operation conducted by Defendant-Appellant Gary D. Wiggins, Plaintiff-Appellee Raul Jose Valencia was arrested on drug charges. On July 3, 1987, Valencia was committed to Brewster County (Texas) Jail. Shortly thereafter, Wiggins ceased work as an undercover agent and became Acting Chief Deputy of Brewster County Jail, responsible for, among other things, supervision of the jail.

One evening three weeks into his pretrial detention, Valencia took part in a jail disturbance in which the inmates made excessive noise and threw objects out of cells. The next day, the inmates, including Valencia, again created a disturbance by singing and making noise. Jailer Joaquin Jackson requested that the inmates quiet down. When they refused, Jackson summoned Wiggins, who reiterated Jackson's command. Unlike most other inmates, however, Valencia and his cellmate, Gilbert "Bebo" Espinosa, continued to make noise. Wiggins then ordered Valencia out of his cell. Valencia refused to come out, and instead asked why he was being singled out. At that point, Wiggins entered Valencia's cell to enforce his order. Participants and witnesses give quite different accounts of what transpired next. Valencia contends that Wiggins

grabbed him by the hair and bashed his head repeatedly against the cell bars. Wiggins asserts, on the other hand, that Valencia's head accidentally hit the bars during this altercation. Espinosa testified, in what perhaps is a third version of events, that Valencia's head hit the bars when he stiffened his body to resist removal from the cell. Irrespective of those conflicting reasons, there is general agreement on what happened next: Wiggins applied a choke hold that left Valencia momentarily unconscious. After getting him to the floor, Wiggins put Valencia in handcuffs.

Wiggins and the other jailer than took Valencia downstairs to the drunk tank. Valencia testified that, after Wiggins ordered the other jailer to close the door and wait, he (Wiggins) went into the drunk tank with Valencia and struck him at least three times while he was handcuffed and on his knees. Not surprisingly, Wiggins's version of events is quite different. He testified that he and the other jailer escorted Valencia to the drunk tank, removed the handcuffs, shut the door, and left Valencia alone in the cell. Wiggins claims that he never struck Valencia.

Two days after this incident, and for reasons that are not stated in the record, Valencia was moved to the Pecos County Jail. But because that jail had no room, Valencia was moved once again, this time to the Law Enforcement Center. There, the interviewing jailer noted that Valencia had visible injuries, including bruises on his face and scratches and cuts on his throat, but concluded that these injuries did not require immediate medical attention. Several days later,

Valencia was visited by an attorney who also noticed the bruises and scratches. Although Valencia testified that his voice was damaged permanently as a result of the choke hold applied by Wiggins in the upstairs incident, both his cousin and a jailer at the Brewster County Jail (who was also a friend of Valencia's family), testified that they noticed no change.

B. THE DISTRICT COURT'S DECISION

Valencia filed this civil rights action, under 42 U.S.C. § 1983, complaining that Wiggins and another jailer violated his constitutional rights by using excessive force during his incarceration in the Brewster County Jail.[1] Subsequently, Wiggins filed a motion for summary judgment on the basis of qualified immunity. Rather than ruling immediately on Wiggins's motion, however, the trial judge carried it with the case.

After a bench trial, the district court found for Valencia, and ordered Wiggins to pay damages to

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[1]The complaint originally named as defendants the County of Brewster, the District Attorney whose district included Brewster County, the Sheriff of Brewster County, the Head Jailer of Brewster County, another jailer of the Brewster county Jail, a Deputy Sheriff of Brewster County, the Brewster County Judge, and the Brewster County Attorney. Valencia later amended his complaint to remove claims against the County Judge, County Attorney, and the District Attorney.

Valencia in the amount of $2,500.[2] The district court made the following factual findings: Valencia refused to come out of his cell; to enforce his order, Wiggins entered the cell; "[a] wrestling match ensued during which Wiggins hit Valencia's head against the jail bars and applied a 'choke hold' rendering Valencia momentarily unconscious"; Wiggins's methods of enforcing jail discipline were "unreasonable and clearly excessive"; Wiggins went into the drunk tank with Valencia and shut the door; "Wiggins then struck Valencia while he was cuffed and on his knees at least three times"; and Valencia's scratches, cuts, and bruises were serious, but did not require medical attention.

As to the applicable law, the district court concluded that because Valencia was a pretrial detainee, the case involved the Fourteenth Amendment's protection against summary punishment, not the Fourth Amendment's prohibition of "unreasonable seizures" or the Eighth Amendment's prohibition of "cruel and unusual punishments." And finding Wiggins's use of force to be both excessive and malicious and Valencia's physical injuries to be "severe," the district court concluded that Valencia met his burden under *Shillingford v. Holmes*,[3] the case which, at the time of the incident, articulated this court's substantive due

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[2]Upon motion, the district court involuntarily dismissed claims against the head jailer, sheriff, deputy sheriff, and Brewster County.

[3]634 F.2d 263 (5th Cir. 1981).

process standard for claims of excessive use of official force. Additionally, the court found that Wiggins was not entitled to qualified immunity, presumably because this court stated in *Stevens v. Corbell*,[4] that immunity is not available in excessive force cases. Finally, in a supplemental order entered two months after trial, the district court granted Valencia approximately $27,600 in attorney's fees and costs.

Wiggins appealed to this court.

II. ANALYSIS

A. CONSTITUTIONAL BASIS

Wiggins argued in this pretrial detainee excessive force case that the district court should have used the Fourth Amendment's excessive force standard, set forth by the Supreme Court in *Graham v. Connor*,[5] and adopted with modification by this court in *Johnson v. Morel*.[6] Valencia, on the other hand, urged this court

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[4]832 F.2d 884, 890 (5th Cir. 1987) (finding it "well-settled in this Circuit that knowing use of excessive force in booking an arrestee violates the arrestee's constitutional rights").

[5]490 U.S. 386, 109 S.Ct. 1865, 104 L.Ed.2d 443 (1989).

[6]876 F.2d 477 (5th Cir. 1989) (en banc) (per curiam). Under *Morel*, a plaintiff alleging excessive force in violation of the Fourth Amendment had to prove three elements: "(1) a significant injury, which (2) resulted directly and only from the use of force that was clearly excessive to the need; and the excessiveness of which was (3) objectively unreasonable." *Id.*

to continue using *Shillingford*,[7] which articulates a substantive due process standard derived from *Johnson v. Glick*,[8] the Second Circuit's seminal decision for excessive force claims.

We do not believe that the Fourth Amendment provides an appropriate constitutional basis for protecting against deliberate official uses of force occurring, as in this case, *after* the incidents of arrest are completed, *after* the plaintiff has been released from the arresting officer's custody, and *after* the plaintiff has been in detention awaiting trial for a significant period of time. Our reasons for so deciding are threefold. First, we believe that the Fourth Amendment itself provides weak textual support for such an extension. As the Fourth Amendment protects against unreasonable "seizures," it seems primarily directed to the *initial* act of restraining an individual's liberty,[9] such as an investigatory stop or arrest.

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at 480. *Knight v. Caldwell*, 970 F.2d 1430, 1432 (5th Cir. 1992), recently determined that the Supreme Court's decision in *Hudson v. McMillian*, ___ U.S. ___, 112 S.Ct. 995, 117 L.Ed.2d 156 (1992), overturned *Morel's* significant injury element.

[7]634 F.2d at 263.

[8]481 F.2d 1028 (2d Cir. 1973).

[9]*See Wilkins v. May*, 872 F.2d 190, 192-93 (7th Cir.1989) ("A natural although not inevitable interpretation of the word 'seizure' would limit it to the initial act of seizing, with the result that subsequent events would be deemed to have occurred

(*Graham* itself offers no explicit suggestion as to when a Fourth Amendment seizure comes to an end, although its facts indicate that a seizure under the Fourth Amendment does not end the moment the police gain custody and control over a suspect.[10])

Subsequent to *Graham*, a number of circuits endorsed the extension of the Fourth Amendment to the period between arrest and charge,[11] or through the

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after rather than during the seizure."). *But see, e.g., Justice v. Dennis*, 834 F.2d 380, 387-88 and n. 12 (4th Cir.1987) (en banc) (Phillips, J., dissenting) (arguing that "seizure does not relate only to the event of "arrest," but applies as well when individuals already in custody suffer further restrictions on their liberty), vacated 490 U.S. 1087, 109 S.Ct. 2461, 104 L.Ed.2d 982 (1989).

[10]*Graham* applied the Fourth Amendment's objective reasonableness standard even though the plaintiff was handcuffed--and thus securely in police custody--during the time in which the police allegedly tormented and beat him. *See, e.g., Henson v. Thezan*, 717 F.Supp. 1330, 1335 (N.D.Ill. 1989) (*Graham* "appears to undercut *Wilkin's* view that a seizure ends at the moment the police gain custody and control over the suspect.").

[11]*Austin v. Hamilton*, 945 F.2d 1155, 1162 (10th Cir.1991) (holding that the Fourth Amendment's objective reasonableness standard applies post-arrest up to the arrested suspect's first judicial hearing); *Hammer v. Gross*, 884 F.2d 1200, 1204 (9th Cir.1989), vacated en banc on other grounds, 932 F.2d 842 (9th Cir.1991) (Fourth Amendment applies to force used to compel drunk driving arrestee to consent to chemical tests because force constituted search incident to arrest); and *Calamia v. new York*, 879 F.2d 1025, 1034-35 (2d Cir.1989) (Fourth Amendment

period in which an arrestee remains in the arresting officer's custody.[12] But we have discovered no case in which a court has ruled that the Fourth Amendment continues to protect against the official use of force in the attenuated stage of pretrial detention at issue here.[13] Therefore, without deciding whether the concept of continuing seizure is appropriate in other contexts, we find that the concept of "seizure" in the Fourth Amendment is not so capacious or elastic as to cover pretrial detention three weeks after the initial arrest, the period at issue in this case.

Our second reason for not extending the Fourth Amendment to cover this period of pretrial detention is that the Supreme Court, in several recent cases, has been markedly unwilling to concede that the Fourth Amendment protects inmates after incarceration.[14]

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applies to search incident to arrest).

[12]*See, e.g., Powell v. Gardner*, 891 F.2d 1039, 1044 (2d Cir.1989) ("Fourth Amendment standard probably should be applied at least to the period prior to the time when the person arrested is arraigned or formally charged, and remains in the custody (sole or joint) of the arresting officer.").

[13]*See, e.g., Titran v. Ackman*, 893 F.2d 145, 147 (7th Cir.1990) (assuming that arrestee's "presence in the jail and the completion of the booking marked the line between 'arrest' and 'detention'").

[14]*See* Wayne R. LaFave, 4 *Search and Seizure* § 10.9, at 102-27 (West 1987). *But see Winston v. Lee*, 470 U.S. 753, 105 S.Ct. 1611, 84 L.Ed.2d 662 (1985) (Fourth Amendment is implicated when state compels suspect to undergo surgery to

For instance, in *Bell v. Wolfish*,[15] the Supreme Court refused to hold that a pretrial detainee has a privacy interest in his person that is protected by the Fourth Amendment. (The Court then proceeded to find that, even if the Fourth Amendment were implicated, the institution's practice of requiring detainees to expose their body cavities for visual inspection after contact visits with persons from outside the institution was reasonable). Similarly, in *Hudson v. Palmer*,[16] the Court concluded that the Fourth Amendment protects neither a prisoner's privacy interest in his prison cell nor his possessory interest in personal property contained in his cell. We also find it significant that *Whitley v. Albers*,[17] which resolved that the Eighth Amendment is the primary source of protection against the official use of force for convicted prisoners, never even considered the Fourth Amendment's prohibition against unreasonable seizures as an additional source of substantive protection.

Third, and most importantly, the Supreme Court's decisions in *Graham* and *Bell* indicate that the due process clause in the Fifth (or Fourteenth) Amendment is the appropriate constitutional basis for pretrial

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retrieve evidence).

[15]441 U.S. 520, 99 S.Ct. 1861, 60 L.Ed.2d 447 (1979).

[16]468 U.S. 517, 104 S.Ct. 3194, 82 L.Ed.2d 393 (1984).

[17]475 U.S. 312, 327, 106 S.Ct. 1078, 1088, 89 L.Ed.2d 251 (1986).

detainee excessive force suits.[18] Although *Graham* left open whether the Fourth Amendment applied after arrest, it nevertheless made clear that "the Due Process Clause protects a pretrial detainee from the use of excessive force that amounts to punishment."[19] Likewise, in *Bell*, the Court concluded that the Court of Appeals had properly relied on the due process clause in considering claims of pretrial detainees. *Bell* holds that conditions and restrictions of pretrial detention amount to deprivations of liberty without due process if those restrictions or conditions "amount to punishment of the detainee."[20] Writing for the Court, then Associate Justice Rehnquist explained:

> Not every disability imposed during pretrial detention amounts to "punishment" in the constitutional sense, however . . . . A court must decide whether the disability is imposed for the purpose of punishment or whether it is but an incident of some other legitimate governmental purpose. Absent showing of an expressed intent to punish on the part of detention facility officials, that determination generally will turn on "whether an alternative purpose to which [the restriction]

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[18]*See also Ingraham v. Wright*, 430 U.S. 651, 671 n. 40, 97 S.Ct. 1401, 1412 n. 40, 51 L.Ed.2d 711 (1977) ("Where the State seeks to impose punishment without such an adjudication, the pertinent constitutional guarantee is the Due Process Clause of the Fourteenth Amendment.").

[19]490 U.S. at 395 n. 10, 109 S.Ct. at 1871 n. 10.

[20]441 U.S. at 535, 99 S.Ct. at 1872.

> may rationally be connected is assignable for it, and whether it appears excessive in relation to the alternative purpose assigned [to it]." Thus, if a particular condition or restriction of pretrial detention is reasonably related to a legitimate governmental objective, it does not, without more, amount to "punishment." Conversely, if a restriction or condition is not reasonably related to a legitimate goal--if it is arbitrary or purposeless--a court permissibly may infer that the purpose of the governmental action is punishment that may not constitutionally be inflicted upon detainees qua detainees.[21]

Our conclusion that *Graham* and *Bell* indicate the Supreme Court's intention that lower courts determine whether force exerted against a pretrial detainee constitutes punishment under the Due Process Clause is consistent with this Court's decision in *Ortega v. Rowe*,[22] and the Seventh Circuit's recent pronouncement in *Titran v. Ackman*.[23]

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[21]*Id.* 441 U.S. at 537-39, 99 S.Ct. at 1873 (internal citations omitted).

[22]796 F.2d 765, 767 (5th Cir.1986) ("*Bell* first directs us to the due process clause rather than the Eighth Amendment in considering claims of pretrial detainees.").

[23]893 F.2d at 147 ("Did the state punish? ... is the right question when a captive of the state claims that she has been attacked by her jailors."). *See also*, Martin A. Schwartz and John E. Kirklin, 1 *Section 1983 Litigation*, § 3.9, at 165-66 (Wiley 1991).

B. PRETRIAL DETAINEE EXCESSIVE FORCE STANDARD

In our determination of what standard of due process applies to claims of excessive use of force brought by pretrial detainees,we are first guided by *Bell*. *Bell* concerned the constitutionality of conditions or restrictions of pretrial detention and concluded that the proper inquiry is whether these conditions amount to punishment of the detainee. To determine if a condition or restriction amounts to "punishment," a court must decide whether the disability is imposed for the purpose of punishment or whether it is but an incident of some other legitimate governmental purpose. Absent proof of an official's expressed intent to punish, the determination of whether an alternative purpose to which the restriction may rationally be connected is assignable for it, and whether it appears excessive in relation to the alternative propose assigned to it.

While this inquiry works well for claims of improper conditions or restrictions, it does not lend itself to analysis of claims of excessive use of force in controlling prison disturbances. In *Bell*, the Court stated that the government must be able to take steps to maintain security and that "[r]estraints that are reasonably related to the institution's interest in maintaining jail security do not, without more, constitute unconstitutional punishment. . . . "[24] *Bell* further noted that there is no reason to distinguish

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[24]441 U.S. at 540, 99 S.Ct. at 1874.

between pretrial detainees and convicted inmates in reviewing challenged security practices because there is no basis to conclude that pretrial detainees pose any lesser security risk that convicted inmates.[25]

For these reasons, we conclude that excessive use of force claims by pretrial detainees should not be analyzed under *Bell's* conditions of confinement standard. Instead, we are guided by the standard announced in *Whitley* and *Hudson*. While these cases specifically addressed claims of excessive use of force brought by convicted prisoners, it is impractical to draw a line between convicted prisoners and pretrial detainees for the purpose of maintaining jail security. Moreover, the Court indicated in *Hudson* that many of its concerns in *Whitley* were not limited to Eighth Amendment claims but "arise *whenever* guards use force to keep order."[26] It further observed that claims based on conditions of confinement are different in kind.[27]

Therefore, when a court is called upon to examine the amount of force used on a pretrial detainees for the purpose of institutional security, the appropriate analysis is that announced in *Whitley* and *Hudson*: whether the measure taken inflicted unnecessary and wanton pain and suffering depends on "whether force

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[25]Id. at 546 n. 28, 99 S.Ct. at 1878 n.28.

[26]___ U.S. at ___, 112 S.Ct. at 998.

[27]*Id.* ___ U.S. at ___, 112 S.Ct. at 1000.

was applied in a good faith effort to maintain or restore discipline, or maliciously and sadistically for the very purpose of causing harm."[28]

Often, of course, there will be no evidence of the detention facility official's subjective intent, and the trier of fact must base its determination on objective factors suggestive of intent.[29] The trier of fact would need to examine "the need for the application of force," and the "threat 'reasonable perceived" by the detention facility official.'"[30] When appropriate, the trier of fact must reflect in this calculus that the detention facility official may have had to act quickly and decisively. The trier of fact should also consider "any efforts to temper the severity of a forceful response."[31] "[T]he extent of injury suffered by an

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[28]*Id.* ___ U.S. at ___, 112 S.Ct. at 998, citing *Whitley*, 475 U.S. at 320-21, 106 S.Ct. at 1085.

[29]Our recent decision on remand in *Hudson* summarized some of the relevant objective factors:

1. The extent of the injury suffered;
2. The need for the application of force;
3. The relationship between the need and the amount of force used;
4. The threat reasonably perceived by the responsible officials; and
5. Any efforts made to temper the severity of the forceful response.

Hudson v. McMillian, 962 F.2d 522, 523 (5th Cir.1992).

[30]*Hudson*, ___ U.S. at ___, 112 S.Ct. at 999.

[31]*Id.*

inmate is one factor that may suggest 'whether the use of force could plausibly have been thought necessary' in a particular situation, 'or instead evinced such wantonness with respect to the unjustified infliction of harm as is tantamount to knowing willingness that it occur'"[32]

Our conclusion that *Whitley* and *Hudson* provide the correct standard for excessive force suits brought by pretrial detainees means that this Circuit's test in *Shillingford* has no continuing force.[33] Neither *Whitley* nor *Hudson* requires that a detention facility official's conduct be so excessive and outrageous that it "shocks the conscience." And it is clear that *Shillingford's* "severe" injury element did not survive *Hudson*.

Here the district court found, as to the upstairs incident, that Wiggins's use of the choke hold and other force used to subdue a non-resisting Valencia and render him temporarily unconscious was unreasonable and was an excessive use of force. The district court further found that the force Wiggins inflicted on Valencia in the drunk tank while Valencia was handcuffed, kneeling, and non-resisting "was for no other purpose than to punish him prior to any

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[32]*Id.*, citing *Whitley*, 475 U.S. at 321, 106 S.Ct. at 1085.

[33]The Seventh Circuit in *Titran*, 893 F.2d at 147-48, similarly overruled *Gumz v. Morrissette*, 772 F.2d 1395 (7th Cir.1985), its substantive due process standard, which, like *Shillingford*, derived from *Glick*.

adjudication of wrong doing[,] was clearly excessive and was not in good faith." The court specifically found Wiggins's actions to be "excessive, maliciously and sadistically applied."

As such, we have no difficulty finding that, in both the upstairs and downstairs incidents, Wiggins used force maliciously and sadistically to cause harm, not in a good-faith effort to maintain or restore discipline. Neither do we have difficulty deciding that the district court was not clearly erroneous in finding Wiggins's use of force grossly disproportionate to the need for action and inspired by malice. The district court credited Valencia's testimony that he did not resist Wiggins at any time, either in the cell or in the drunk tank, and we see no basis for reversing that finding. The same is true for the district court determination's that Wiggins's malice is evident from the very excessiveness of his conduct--this was no "careless or unwise excess of zeal," as Wiggins now asserts.

C. QUALIFIED IMMUNITY.

Qualified immunity shields government officials performing discretionary functions "from civil damages liability as long as their actions could reasonably have been thought consistent with the rights they are alleged to have violated."[34] Whether a defendant asserting qualified immunity may be personally liable turns on

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[34]*Anderson v. Creighton*, 483 U.S. 635, 638, 107 S.Ct. 3034, 3038, 97 L.Ed.2d 523 (1987).

the objective reasonableness of the defendant's actions assessed in light of clearly established law.[35]

In this case, Wiggins asserted the defense of qualified immunity in a motion for summary judgment. But rather than rule on the motion, the district court elected to carry it with the case. Then, at close of trial, the court ruled against Wiggins, stating without elaboration that "[u]nder the circumstances of this case Wiggins is not entitled to qualified immunity."

We are not certain whether the district court decided that Wiggins could not *assert* a qualified immunity defense under *Shillingford*, or that Wiggins was not *entitled* to qualified immunity because his behavior was objectively unreasonable. In support of its ruling, the district court cited dictum in *Stevens v. Corbell*,[36] to the effect that "the defense of qualified immunity is unavailable to a police officer who the plaintiff has alleged thus used excessive force"[37] Later, in *Brown v. Glossip*,[38] this court interpreted *Stevens* as suggesting that the defense of qualified immunity might not be available to an official accused of employing excessive force under the *Shillingford* standard because

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[35]*See id.*, 483 U.S. at 639, 107 S.Ct. at 3038.

[36]832 F.2d at 890.

[37]*Id.* at 890.

[38]878 F.2d 871, 873 n. 2 (5th Cir. 1989). *See also Coon v. Ledbetter*, 780 F.2d 1158, 1164 (5th Cir. 1986)

Shillingford's malice prong cannot be reconciled with the good faith requirement of qualified immunity. After those decisions were rendered, however, we concluded that "scienter is not material to qualified immunity... It is therefore irrelevant whether the defendants in this case acted with intent to injure as long as their conduct was objectively reasonable."[39] Wiggins, therefore, is not foreclosed from asserting qualified immunity. Whether he is entitled immunity from liability, however, turns on whether his use of force was objectively reasonable.

The objective reasonableness of Wiggins' conduct must be measured with reference to the law as it existed at the time of the conduct in question.[40] The force which Valencia alleges was applied to him excessively was used in 1987, at which time *Shillingford's* substantive due process standard was the clearly established law in this circuit for excessive force claims brought by pretrial detainees. In *Shillingford*, as noted earlier, we held that to maintain an excessive force claim a plaintiff must prove that the defendant's actions "caused severe injuries, was grossly disproportionate of the need for action under the circumstances and was

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[39]*Pfannstiel v. Marion*, 918 F.2d 1178, 1182 (5th Cir. 1990), citing *Malley v. Briggs*, 475, U.S. 335, 341, 106 S.Ct. 1092, 1096, 89 L.Ed.2d 271 (1986) ("an allegation of malice is not sufficient to defeat immunity if the defendant acted in an objectively reasonable manner").

[40]*See Harlow v. Fitzgerald*, 457 U.S. 800,818-19, 102 S.Ct. 2727, 2738, 73 L.Ed.2d 396 (1982).

inspired by malice rather than merely careless or unwise excess of zeal so that it amounted to an abuse of official power that shocks the conscience...."[41]

Wiggins insists that he is immune from liability because Valencia's injuries are not sufficiently "severe," as required by *Shillingford*. While we acknowledge that there is case law in this circuit suggesting that injuries such as those sustained by Valencia might not constitute "severe" injury under *Shillingford*, so too is there case law indicating that such injuries are indeed "severe." Recitation in appellate opinions of such subjective determinations as the relative severity of an injury do not lend themselves to useful or instructive comparison.[42]

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[41]634 F.2d at 265.

[42]Perhaps this point is best made perhaps by a partial recapitulation of this court's decisions on the element of injury. The following cases found injuries to be "severe" under *Shillingford*: *Shillingford*, 634 F.2d at 266 (lacerated forehead, leaving a scar, sustained when a police officer smashed a camera with a nightstick while photographer was taking a picture); *Roberts v. Marino*, 656 F.2d 1112, 1115 (5th Cir. 1981) (multiple bruises and scars to the head and body, resulting from a severe beating); *Hinshaw v. Doffer*, 785 F.2d 1260, 1267 (5th Cir. 1986) (multiple contusions and lacerations resulting in a two day hospital stay after a beating by an officer, continuing occasional numbness in one arm); and *Mouille v. Live Oak*, 918 F.2d 548, 552 (5th Cir. 1990) (pinched nerve in the leg).

The following cases found injuries to be "significant" under *Morel*: *Morel*, 876 F.2d at 480 (handcuffs causing scars and resulting in disabling from employment for two weeks); *Hay v.*

The trier of fact, in this case the trial judge, found Valencia's injuries to be "severe." To the extent we can productively compare the description of Valencia's injuries in the record with the descriptions of injuries

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Irving, 892 F.2d 796, 798; (5th Cir. 1990) (bruises to head and shoulders, severe bruise to leg, extensive swelling of jaw with medical testimony that injuries were severe, pinched nerve in the leg); and *Adams v. Hansen*, 906 F.2d 192 (5th Cir. 1990) (lacerated fingers requiring sutures).

In these cases, injuries were deemed not "severe" under *Shillingford*: *Raley v. Fraser*, 747 F.2d 287, 289 (5th Cir. 1984) (bruises on arm, scrapes on face, welts raised by handcuffs, choke hold with sore throat and hoarse voice for two weeks); *Mark v. Caldwell*, 754 F.2d 1260, 1261 (5th Cir. 1985) (slaps to the face); *Mouille*, 918 F.2d at 554 (one plaintiff: transient fear for the safety of another; another plaintiff: small red mark and fear for safety of unborn child); *Pfannstiel*, 918 F.2d at 1185 (one plaintiff: neck strain requiring the wearing of a collar for about a week; second plaintiff: scratches on arms and hurt throat from being choked: third plaintiff: aggravation of pre-existing high blood pressure and marks on wrists from handcuffs).

In these cases, injuries were found not "significant" under *Morel*: *Wisniewski v. Kennard*, 901 F.2d 1276, 1277 (5th Cir. 1990) (two punches in the stomach and transient fear when officer placed his gun in the arrestee's mouth and threatened to blow his head off); *Wesson v. Oglesby*, 910 F.2d 278, 283 (5th Cir. 1990) (choke hold causing plaintiff to briefly lose consciousness); *Wise v. Carlson*, 902 F.2d 417, 417-28 (5th Cir. 1990) (bruises to chest and forearm, hematoma on eyelid); *Mouille*, 918 F.2d at 554 (one plaintiff: transient fear for safety of another; another plaintiff: small red mark and fear for safety of unborn child).

reported in appellate opinions from other excessive force cases, we conclude that the district court's findings of fact here do not markedly deviate from those in other cases.[43] Therefore, applying the "clearly erroneous" standard of review, we refuse to overturn on appeal the district court's finding of fact that Valencia's injuries were "severe" under *Shillingford*.

III. CONCLUSION

When, as here, the incidents of arrest have long since been completed and the pretrial detainee remains in detention, it is the Due Process Clause that provides the appropriate constitutional basis for determining whether a detention official's use of deliberate force on such a detainee is excessive.[44] Neither the Unreasonable Search and Seizure Clause of the Fourth

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[43] *See* cases cited at note 42.

[44] Precisely when the arrest mode ceases and the pretrial detainment mode beings remains an unanswered question, albeit one of increasingly diminishing importance in excessive force cases given the continued convergence of the various tests under the Fourth, Eighth, and Fourteenth Amendments for maltreatment of arrestees, detainees or convicted prisoners, respectively. Under any test, the instant jailhouse altercations occurred well after all incidents of Valencia's arrest had ceased, so an effort to write a bright line or demarcation between arrest and detention here would produce obiter dicta at best. We therefore leave to a future panel the task of addressing that issue when the appropriate factual setting presents itself.

Amendment nor the Cruel and Unusual Punishment Clause of the Eighth Amendment is applicable.

In light of the Supreme Court's statements in *Bell* and *Graham* that the appropriate question under the Due Process Clause is whether the detention official's use of force was with the intent to punish the pretrial detainee, and guided by that Court's recent decisions in *Whitley* and *Hudson* on excessive force claims in the context of prison disturbances, we hold that this circuit's excessive force standard as previously set forth in *Shillingford* is no longer the appropriate one for testing claims of excessive force brought by pretrial detainees. Henceforth, the question in this circuit for suits brought by pretrial detainees alleging excessive use of force in the context of a prison disturbance, is that stated in *Hudson*: whether force was applied in a good-faith effort to maintain or restore discipline or maliciously and sadistically to cause harm. The focus of this standard is on the detention facility official's subjective intent to punish. But, in determining such intent, the calculus of the trier of fact must include such objective factors as the extent of the injuries suffered, the apparent need for the application of force, the degree of force exerted, the threats reasonably perceived by the detention facility official, and the need to act quickly and decisively.

Here the finding of the district court that the deliberate force used against Valencia by Wiggins was excessive and unreasonable under the circumstances, and was applied maliciously and sadistically, was not clearly erroneous. As such, the district court did not

err in concluding that Wiggins intended to punish Valencia--not to restore order or maintain discipline in the jail. Neither did the district court err in holding that Wiggins was not entitled to qualified immunity. In all respects, therefore, the judgment of the district court is

AFFIRMED.

92-1712

Supreme Court, U.S.
FILED
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OFFICE OF THE CLERK

IN THE
SUPREME COURT OF THE UNITED STATES
OCTOBER TERM, 1992

* * *

NO. _____

* * *

GARRY D. WIGGINS,

Petitioner

V.

RAUL JOSE VALENCIA,

Respondent

* * *

Supplemental Appendix to Petition for Writ of Certiorari To The United States Court of Appeals For the Fifth Circuit

* * *

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Raul Jose Valencia

Garry D. Wiggins

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APPENDIX A

IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF TEXAS
PECOS DIVISION

RAUL JOSE VALENCIA	§	
	§	
V.	§	P-89-CA-039
	§	
GARRY D. WIGGINS, ET AL.	§	

JUDGMENT

BEFORE THIS COURT came the parties for trial on the merits on Plaintiff's suit alleging excessive force against a pretrial detainee in violation of Title 42, United States Code, Section 1983. All jurisdictional prerequisites necessary to the maintenance of Plaintiff Valencia's claims were met. This Court issued its Findings of Fact and Conclusions of Law by separate memorandum opinion this day. Accordingly,

IT IS ORDERED, ADJUDGED AND DECREED Plaintiff Raul Valencia should recover of and from the [sic] Garry Wiggins the sum of $2500.00. Interest on

the Judgment in the amount of 7.95% shall accrue from July 17, 1990 until the date the Judgment is paid.

Costs of Court are assessed against the Defendant. Let execution issue if not timely paid.

Judgment for attorney's fees shall be handled by separate Judgment. Plaintiff shall file a brief with this Court in support of entitlement to attorney's fees and accompany such request with an affidavit following the criteria set forth in Johnson v. Georgia Highway Express, Inc., 488 F.2d 714 (5th Cir. 1974).

SIGNED the 13th day of September, 1990.

LUCIUS D. BUNTON,
CHIEF JUDGE

APPENDIX B

IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF TEXAS
PECOS DIVISION

RAUL JOSE VALENCIA	§	
	§	
V.	§	P-89-CA-039
	§	
GARRY D. WIGGINS, ET AL.	§	

ORDER

BEFORE THIS COURT came to be considered the Motion of Defendants Raymundo Ramos and Pat L. Henderson to Alter or Amend Judgment and for Hearing on Rule 11 Claims in the above-captioned cause. The Court in its Memorandum Opinion and Order dated September 14, 1990, failed to formally dismiss the claims against Ramos and Henderson, although the claims against them were orally dismissed from the bench. Upon consideration of the Motion and Response of Plaintiff Raul Valencia, this Court is of the opinion the Motion to Alter or Amend Judgment should

be GRANTED and the Claims against Raymundo Ramos and Pat L. Henderson should be DISMISSED.

Defendants Ramos and Henderson also request the Court rule on their Rule 11 claim against Valencia. In the final judgment, the Court denied the Rule 11 Motions of Brewster County Judge Tom Connor, Brewster County Attorney Val Beard, and former District Attorney Phil Pollan because the Plaintiff moved to dismiss these claims prior to trial. The claims against Ramos and Henderson were not, however, dismissed by the Plaintiff prior to trial, but were adjudicated along with Garry Wiggins, Sheriff Jones, and Brewster County. This Court is of the opinion the Plaintiff should not be penalized through the imposition of sanctions, for proceeding against Ramos and Henderson, just because the case against them did not prevail on the merits. Defendants were

involved in the events leading up to Valencia's recovery against Wiggins, and there was sufficient evidence to meet the Rule 11 standards. Therefore, the Motion for Imposition of Rule 11 Claims of Defendants Ramos and Henderson is DENIED. Accordingly,

IT IS ORDERED the motion of the Defendants Raymundo Ramos and Pat L. Henderson to Alter or Amend Judgment is hereby GRANTED and the claims of Plaintiff Raul Valencia against Ramos and Henderson are hereby DISMISSED.

IT IS FURTHER ORDERED this Court's Judgment on September 14, 1990 be amended to include the above.

SIGNED this 16th day of November, 1990.

LUCIUS D. BUNTON,
CHIEF JUDGE

APPENDIX C

IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF TEXAS
PECOS DIVISION

RAUL JOSE VALENCIA	§	
	§	
V.	§	P-89-CA-039
	§	
GARRY D. WIGGINS, ET AL.	§	

MEMORANDUM OPINION AND ORDER

BEFORE THIS COURT came the parties for trial on the merits on Plaintiff's suit alleging excessive force under Title 42 United States Code § 1983 et seq.

All jurisdictional prerequisites necessary to the maintenance of Plaintiff's claims have been fulfilled. Having heard the evidence adduced by both parties at trial, this court now enters its Findings of Fact and Conclusions of Law.

FINDINGS OF FACT

1. Plaintiff Raul Valencia was committed to the Brewster County Jail on July 3, 1987. Like many other

inmates in the jail, including both of those who testified on Valencia's behalf in this matter, Gilbert "Bebo" Espinosa and Robert Lee Smith, Valencia was arrested on drug charges as a result of an undercover operation conducted by Defendant Garry Wiggins.

2. Defendant Garry Wiggins was hired by the Brewster County Sheriff's Office on December 30, 1986. The background investigation conducted by Sheriff George Jones was, although not exemplary, adequate. Jones made telephonic inquiries of Defendant Wiggins's prior employer and was not given any negative information.

3. After Wiggins's completion of service as an undercover narcotics officer, Wiggins began work as a Brewster County Deputy Sheriff and, in July, 1987, was acting Chief Deputy.

4. On the evening of July 23, 1987 Valencia was involved in a disturbance in the jail involving excessive noise and the throwing of objects out of cells.

5. On the morning of July 24, 1987 Valencia was told to report to sick call to be taken to see a doctor. Upon reporting, Valencia was confronted by Wiggins who sought to place him in handcuffs and leg restraints. Valencia was afraid of Wiggins and did not want Wiggins to escort him to the doctor. Both parties became verbally abusive toward the other, this erupted into a shouting match between the two. Valencia told Wiggins he did not want to be "chained like an animal" during a visit to the doctor. Valencia was disciplined and lost jail privileges as a result of these two incidents.

6. On the evening of July 24, 1987, the inmates of the jail were creating a disturbance, involving singing

and other noises. Jailer Joaquin Jackson requested the inmates to quiet down. When the inmates refused, Jackson summoned Wiggins. The Court finds Wiggins should not have been summoned to deal with this disturbance by inmates he had arrested.

7. At approximately 6:00 o'clock p.m., Wiggins arrived at the jail. Wiggins heard noise emanating from the jail and ordered the inmates to quiet down. Most of them did, but Valencia and Espinosa did not. Wiggins then told Valencia to get out of his cell because he wouldn't stop making noise. The court further finds Valencia refused to come out of the cell and asked why he was being singled out.

8. Wiggins then entered the cell to enforce his order. A wrestling match ensued during which Wiggins hit Valencia's head against the jail bars and applied a "choke hold" rendering Valencia momentarily

unconscious. The court finds the methods used by Wiggins to enforce jail discipline to be unreasonable and clearly excessive.

9. After Valencia was taken to the floor and handcuffed, he was taken downstairs to the drunk tank. Wiggins went into the drunk tank with Valencia and shut the door behind them. Wiggins then struck Valencia while he was cuffed and on his knees at least three times. The Court finds Valencia did not require medical attention for the bruises to the face and the scratches and cuts to his throat, but the injuries were severe to Valencia. At the time of these incidents, Valencia was a pre-trial detainee.

10. The next morning Valencia spoke with Ray Ramos another jailer. Ramos moved Valencia to a different cell. In order to do so, he moved another inmate into Valencia's original cell.

11. While upstairs, Ramos was told by Bebo Espinosa, Valencia had been assaulted by Wiggins in the drunk tank. Ramos asked Valencia about this and Valencia stated he had just been joking and he did not know why he was trying to act like such a tough guy. The Court finds Valencia made these statements to Ramos because he was afraid if he complained, Wiggins would beat him further. Valencia also stated he wanted to apologize to jailer Jackson and Wiggins.

12. Valencia was transported to Pecos on Sunday, July 26, 1987 by Deputy Pat Henderson and Wiggins. There was insufficient room for him at the County Jail and he was transferred to the Law Enforcement Center ("LEC") in Pecos, Texas where he was admitted.

13. The interviewing jailer at the LEC noted Valencia's injuries consisted of scratches and bruises, but nothing requiring immediate medical care.

14. Several days later Valencia was visited by Attorney Rod Ponton who noticed the bruises and scratches.

15. Valencia alleges he sustained permanent damage to his voice because of the "choke hold," but the testimony of the [sic] Valencia's cousin Pete Gallego contradicted this. The Court finds Valencia did not require medical attention as a result of the abuse of the [sic] Wiggins.

16. Raul Valencia suffered a significant injury and damages in the amount of $2500.00 as a result of Wiggins's unlawful conduct.

CONCLUSIONS OF LAW

1. The Cruel and Unusual Punishments Clause "was designed to protect those convicted of crimes," and consequently the Clause applies "only after the State has complied with the constitutional guarantees

traditionally associated with criminal prosecutions." Huguet v. Barnett, 900 F.2d 838, 840 (5th Cir. 1990), quoting, Ingraham v. Wright, 430 U.S. 651, 97 S.Ct. 1401, 51 L.Ed.2d 711 (1977). In order for a Plaintiff to prevail, on an Eighth Amendment excessive force claim, these four elements must be proven:

> 1. A significant injury, which
> 2. resulted directly and only from the use of force that was clearly excessive to the need, the excessiveness of which was
> 3. objectively unreasonable, and
> 4. the action constituted an unnecessary and wanton infliction of pain.

Huguet v. Barnett, 900 F.2d at 841. The first three elements should be examined objectively, focusing solely on the injury, the situation and the force needed; once these are proven the analysis shifts to a subjective analysis of the officer and his state of mind to determine whether the action constituted an unnecessary and wanton infliction of pain. Id.; Whitley v. Albers,

475 U.S. 312, 106 S.Ct. 1078, 1085, 89 L.Ed.2d 251 (1986). Plaintiff has the burden of proof with regard to the four issues, and if he fails on any one, so too does his case. Huguet v. Barnett, 900 F.2d at 841.

2. Pre-trial detainees, as opposed to convicted prisoners, are those individuals who have been charged with a crime but who have not yet been tried on the charge. Bell v. Wolfish, 441 U.S. 520, 523, 99 S.Ct. 1861, 1865, 60 L.Ed.2d 447 (1979). This distinction is highlighted because the Due Process Clause of the Fourteenth Amendment accords pre-trial detainees rights not enjoyed by convicted inmates under the Eighth Amendment prohibition against cruel and unusual punishment. Jones v. Diamond, 636 F.2d 1364, 1368 (5th Cir. 1981) (en banc), overruled on other grounds, 790 F.2d 1174 (1986). Specifically, "while a sentenced inmate may be punished in any

fashion not cruel and unusual, the Due Process Clause forbids punishment of a person held in custody awaiting trial but not yet adjudged guilty of any crime." Id. In Bell v. Wolfish, the Supreme Court determined, as to pretrial detainees, the proper inquiry under the Due Process Claus is whether conditions accompanying pre-trial detention are imposed upon detainees for the purpose of punishment, as the Due Process Clause does not permit punishment prior to adjudication of guilt. Bell, 441 U.S. at 535, 99 S.Ct. at 1871.

2. Contrasting the above with arrest situations;

> [A]ll claims that law enforcement officers have used excessive force - deadly or not - in the course of an arrest, investigatory stop or other "seizure" of a free citizen should be analyzed under the Fourth Amendment and its "reasonableness" standard, rather than under a "substantive due process" approach. Because the Fourth Amendment provides an explicit textual source of constitutional protection against this

> sort of physically intrusive governmental conduct, that Amendment, not the more generalized notion of "substantive due process," must be the guide for analyzing these claims.

Graham v. Connor, 490 U.S. ____, 109 S.Ct. 1865, 104 L.Ed.2d 443, 454 (1989). In order to prevail on a claim of excessive force in an arrest setting under Title 42 United States Code, Section 1983, Valencia must prove he suffered a significant injury which resulted directly and only from the use of force clearly excessive to the need and objectively unreasonable. Id. and Johnson v. Morel, 876 F.2d 477, 480 (5th Cir. 1989) (en banc). The dicta in Graham, however, indicates the Supreme Court has not clearly held whether the Fourth Amendment should be applied to excessive force cases involving pre-trial detainees; however, once an arrest has been effectuated "it is clear . . . the Due Process Clause protects a pre-trial

detainee from the use of excessive force that amounts to punishment." Graham v. Connor, 109 S.Ct. at 1871, 104 L.Ed.2d at 455. Defendant contends this Court may not find for Plaintiff Valencia because he cannot fulfill his burden of proof on the significant injury element, thus his entire claim must fall. The standards governing excessive force claims under the Fourth and Eighth Amendments are identical in every respect, and require a finding of significant injury before a Plaintiff may proceed with his case. This Court finds however the less stringent Fourteenth Amendment Due Process Standard should be applied to these facts, although some review of Fourth and Eighth Amendment case law will be necessary.

3. In order to prevail on a claim of summary punishment, Valencia must show the force used against him amounted to punishment. Further it was not

imposed in the context of an arrest or for security or other appropriate reasons. Bell v. Wolfish, 441 U.S. at 536, 99 S.Ct. at 1871-2. Once the Plaintiff has done so the Defendant is liable for injury proximately caused by such punishment since there is no right to punish a prisoner without appropriate Due Process. Id. "[I]f the state officer's actions caused severe injuries, was grossly disproportionate to the need for action under the circumstances, and was inspired by malice rather than merely careless or unwise excess of zeal so that it amounted to an abuse of official power that shocks the conscience, it should be redressed under Section 1983." Brown v. Glossip, 878 F.2d 871, 873 (5th Cir.1989), quoting Shillingford v. Holmes, 634 F.2d 263, 265 (5th Cir. 1981). The Court should also determine whether force was applied in a good faith effort to maintain or restore discipline or maliciously and sadistically for the

purpose of causing harm. Whitley v. Albers, 475 U.S. 312, 320-321, 106 S.Ct. 1078, 89 L.Ed.2d 251, 261 (1986).

4. If an inmate refuses to cease a disturbance and refuses to leave his cell when ordered to do so, reasonable force may be used to move the inmate causing the disturbance. However, the use of a potentially lethal choke hold, City of Los Angeles v. Lyons, 461 U.S. 95, 100, 113 (1983) and other force to subdue a non-resisting inmate, and render him temporarily unconscious, is excessive use of force in the context of this case. Bell v. Wolfish, 441 U.S. at 535, 99 S.Ct. at 1871; Whitley v. Albers, 475 U.S. at 320-321, 89 L.Ed.2d at 261. Likewise, Wiggins's infliction of force in the drunk tank on a handcuffed and non-resisting Valencia was for no other purpose than to punish him prior to any adjudication of wrong

doing was clearly excessive and was not in good faith. Block v. Rutherford, 468 U.S. 576, 583, 104 S.Ct. 3227, 82 L.Ed.2d 438, 445 (1984). Wiggins's actions were excessive, maliciously and sadistically applied, and Wiggins "clearly crossed the constitutional line making this abuse actionable under Section 1983." Shillingford v. Holmes, 634 F.2d at 265.

5. The Court further finds Valencia's injuries were severe under the Shillingford standard, although he did not require medical attention, and might not merit a recovery under the Fourth or Eighth Amendments. The Court makes this finding even noting the dicta in Johnson v. Morel, 876 F.2d at 480, n.1., which states it is unlikely a significant injury by Fourth Amendment standards will be caused by unnecessary force without a significant physical injury, but leaves that finding open for another case. This Court makes this finding

because this action was inspired by malice, and grossly disproportionate under the circumstances, so as to amount to an abuse of official power which shocks the conscience. Stevens v. Corbell, 832 F.2d 884, 889 (5th Cir. 1987) rehearing en banc denied, 838 F.2d 1214 (5th Cir. 1987), citing, Hendrix v. Matlock, 782 F.2d 1273, 1274 (5th Cir. 1986).

7. Under the circumstances of this case, Wiggins is not entitled to qualified immunity. Stevens v. Corbell, 832 F.2d at 890. The claims brought by Valencia against former Sheriff George Jones and Brewster County are dismissed upon Defendants' Motion for Involuntary Dismissal, Rule 41(b), Federal Rules of Civil Procedure, because Valencia failed to produce sufficient evidence any custom or policy of Brewster County or George Jones was the moving force

behind the use of any excessive force by Garry Wiggins.

8. The claims against Brewster County Judge Tom Connor, Brewster County Attorney Val Beard, and former District Attorney Phil Pollan were filed by the Plaintiff while proceeding pro se. After counsel was retained, the Plaintiff moved to dismiss the claims against these Defendants, because of their absolute immunity. The claims against these Defendants were properly dismissed by this Court, and the Court is of the opinion Rule 11 sanctions should not be rendered against this Plaintiff. Accordingly the following Order is appropriate

IT IS ORDERED the Plaintiff Raul Valencia, have and recover of and from Garry Wiggins the amount of $2500. Interest on the Judgment in the amount of

7.95% shall accrue from July 17, 1990 until the date the Judgment is paid.

A separate Judgment shall issue in this matter.

All relief requested and not expressly granted is hereby and in all things denied.

SIGNED the 12th day of September, 1990.

LUCIUS D. BUNTON,
CHIEF JUDGE